

POSITION TITLE:	Regulatory Compliance Officer		
GROUP:	Policy & Regulation	SECTION:	Compliance
REPORTS TO:	Team Leader Pollution Response		
RESPONSIBLE FOR:	NA		
FAMILY:	TS2	GRADE:	14
DATE REVIEWED:	February 2023		

HBRC STRATEGY

Our Vision:

We want a healthy environment and a resilient and prosperous community.

Our Purpose:

We work with our community to protect and manage the region's precious taonga of rivers, lakes, soils, air, coast and biodiversity for health, wellbeing and connectivity.

Our Values:

- **Partnership and Collaboration:** We work with our community in everything we do
- **Accountability:** We hold ourselves to account to deliver results, be responsive to community expectations, and the best use of ratepayers' funds and assets
- **Transparency:** We report on what we do and the value this delivers for our community
- **Excellence:** We set our sights and expectations high, and never stop striving to do better

Our Focus:

- **Water quality, safety and climate-resilient security** ~ *Te kouniga o te wai, te haumarutanga me te mārohirohi ā-āhuarangi o te whakamarutanga.*
- **Climate-smart and sustainable land use** ~ *Kia koi, kia toitū hoki te whakamahinga o te whenua.*
- **Healthy, functioning and climate-smart biodiversity** ~ *kio ora, kia āhe, kia mārohirohi ā-āhuarangi hoki te rereanga rauropi.*
- **Sustainable and climate-resilient services and infrastructure** ~ *kia toitū, kia mārohirohi ā-āhuarangi hoki ngā ratonga me ngā hanganga ā-whare.*

POSITION SUMMARY

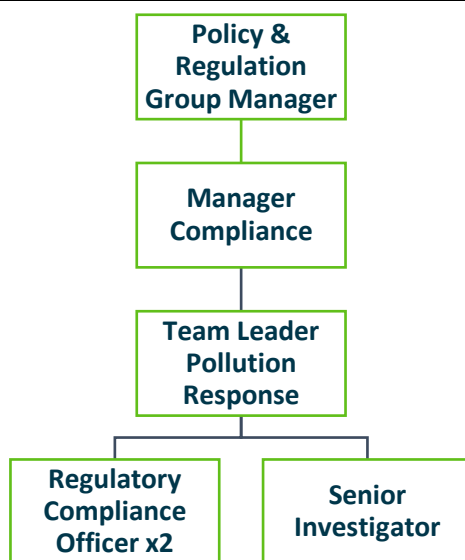
The role of the Regulatory Compliance Officer within the Policy & Regulation Group is responsible for ensuring that Council efficiently and effectively responds to pollution events within the region and delivers appropriate solutions and outcomes aligned to relevant regulations and consistent with HBRC's enforcement policies. The role will require investigation of non-compliance and ensuring, when required, that the appropriate level of enforcement is undertaken. Any enforcement activities must be robust, defensible and an effective option. There will be a requirement to prepare case files for prosecutions through legal counsel and the Courts in cases of serious non-compliance. This will be under the support and guidance of the team leader. There is a requirement to be part of the after hours pollution response roster.

GROUP AND TEAM GOALS:

The Compliance Team provides the following role and functions as part of the Policy & Regulation Group:

- Promote, monitor, and investigate compliance with the Resource Management Act, Maritime Transport Act, any other Act or associated regulations as required and take any appropriate action when necessary.
- Lead and supervise enforcement investigations and prepare and present expert evidence in the Court.
- Provide specialist advice and reports on pollution and resource use in order to input: resource consent application processing; preparation of policy statements and plans; monitoring and reporting of the state of the environment.
- Provide guidance, education and advice on compliance matters to stakeholders including the community, tangata whenua, resource consent holders, interested or affected parties and to HBRC and HBRC staff on the sustainable use of natural resources and regulatory compliance.
- Ensure recovery of compliance monitoring costs.
- Establish and apply procedures for monitoring resource consents.
- Obtain and record evidence in a professional manner that is credible, admissible in Court proceedings and will withstand cross examination.
- Prepare and present evidence, making recommendations for enforcement to the Enforcement Decision Group.
- Provide Compliance input to resource management investigations and policy development as and when required. Be proficient at responding to large scale pollution events to adequately manage the environmental effects and appropriate investigation.
- A 24-hour pollution incident response service.

ORGANISATIONAL CONTEXT



JOB SPECIFIC ACCOUNTABILITIES

- Ensure effective management and oversight of enforcement cases, including the determination of which cases may warrant prosecution action.
- Refer cases as appropriate to the Enforcement Decision Group (EDG) for consideration with supporting documentation that meets quality expectations.
- Ensure that investigations and evidence collection are maintained to a high standard and are compliant with the Evidence Act 2006.
- Undertake compliance investigations of serious breaches of the legislation. This may include covert operations with support and guidance from the team leader.
- Initiate and undertake enforcement proceedings in a timely and accurate manner.
- Be an active participant and key contributor within the Enforcement Decision Group.
- Deal with irate and confrontational individuals in a firm but fair manner. These may be escalated within the team as required.

- Support operational staff throughout the Council who may administer other legislation outside of the Resource Management Act and Evidence Act, such as the Marine and Coastal Area Act, Maritime Transport Act, Biosecurity Act, Land Drainage Act or any other Act. Take a leading role in any enforcement action if required.
- Undertake a variety of other delegated authorities relating to Resource Management Act compliance and enforcement (including issuing and changing abatement notices, giving authority to undertake emergency works, requiring reimbursement of costs for emergency works, applying for search warrants, and issuing infringement notices).
- Contribute to the Quality Management System as part of everyday business practice, including developing, reviewing, and improving business processes, maintaining a strong customer focus and a commitment to the continuous improvement program.
- Ensure timely and effective responses are made to all complaints, including written or verbal response to the complainant in accordance with response guidelines.
- Ensure thorough investigation of possible sources of pollution.
- Effectively determine if any immediate remedial action required to rectify issues and concerns.
- Ensure an effective cost recovery process undertaken.
- Actively participate in the afterhours pollution response roster.

FUNCTIONAL RELATIONSHIPS

Internal

- Group Managers
- Team members
- HBRC staff

External

- Consultants and contractors
- Government agencies and departments
- Local authorities
- Technical and legal professionals
- Iwi and other community groups
- Members of our community

COMMUNITY RELATIONSHIPS

Fostering good working relationships is fundamental to the successful achievement of strategic goals for HBRC. We know we can't achieve change without the people (our community) outside our business. As expressed under our purpose statement, "working with our community" is at the heart of everything we do. This is particularly relevant to our relations with Tāngata Whenua in terms of co-governance and co-management. Successful relationships involve building trust. Which in turn enables us to support each other to respond to new challenges as they arise.

This means:

- Professional attitude is projected at all times in dealing with external contacts.
- Information is accurate and is provided in a timely manner.
- Outcomes that are fair and clearly understood by both parties are achieved
- Customers are satisfied with responses to written or verbal requests for information.

CONTINUOUS IMPROVEMENT

All Hawke's Bay Regional Council (HBRC) staff are expected to actively and enthusiastically promote the concept of continuous improvement in their work for HBRC. This means:

- Maintaining a positive overall attitude in the workplace, including promoting HBRC in a positive manner, as assessed by your peers and Manager
- Taking part in training opportunities provided by HBRC with an open mind, as assessed by pre and post training meetings with your Manager.
- Practicing the skills provided in training offered by HBRC, as assessed by regular feedback meetings with your Manager.

- Showing a strong team commitment, as indicated by peer feedback and your Manager's assessment.
- Practicing the concept of continuous improvement by showing initiative with new ideas and positively acknowledging other ideas.
- Giving honest and open feedback as and when required, aiming to constructively deal with all issues, as assessed by regular feedback meetings with your Manager.
- Deliver on project outcomes: on time and on budget.
- Displaying sound judgment and making responsible decisions.
- Working to high quality standards and where applicable contributes to maintenance of ISO 9001:2015 accreditation of the Quality Management System.

HEALTH AND SAFETY

All staff are expected to follow established health and safety procedures while working for HBRC, and in accordance with policies developed by HBRC. This means:

- Complying with and adhering to HBRC's accepted standards and procedures.
- Where appropriate, taking responsibility for workplace hazards/risks you identify and communicated to management.
- Undertaking regular reviews of workplace risks/hazards that are present in your work.
- When, and if, necessary, participate in the investigation of accidents/incidents according to HBRC procedures.
- Undertaking appropriate and effective staff training when required or necessary.
- Promoting a healthy and safe workplace.
- Actively supporting health and safety initiatives.
- Comply with any rehabilitation plan designed with you for a return to work after an accident.

EMERGENCY MANAGEMENT

When a Civil Defence event happens, you may be required to assist with carrying out the Council's Civil Defence responsibilities after providing required support for your family and dependants. All HBRC staff are expected to undertake such Emergency Management functions as are determined appropriate to meet HBRC's role and function in this area. This means:

- Undertaking such a role as is allocated for emergency management requirements.
- Participating in such exercises as are required to maintain a state of preparedness in HBRC.
- Responding to such requests to assume an emergency management role as are required by events.
- Understanding the contents of the relevant section of the Business Continuity Plan (BCP) and its implications for your role.
- Where the requirements of the role require it, review the relevance of the BCP for your team, section or Group on a regular basis.

PERSON SPECIFICATION

Minimum Qualifications and Experience required

- Comprehensive experience in regulatory compliance and enforcement, ideally within central or local government or other enforcement agency such as the Police.
- Relevant tertiary degree in Science, Law, Environmental Resource Management is desirable.
- Understanding of the compliance process within local government and an ability to recognise the differences between local Government compliance and other compliance environments.
- Valid driver's licence required

Desirable Experience

- Experience in applying legislation to unique and dynamic situations to identify breaches, investigations resulting in prosecution including planning, investigative interviewing of witnesses and offenders, preparing and briefing files for court would be advantageous.
- Proven record of scene examination including gathering of evidence and exhibits and management of these to recognised evidential sufficiency standard would be advantageous.

- Experience in planning operations, including preparing and executing search warrants, applying risk assessment methodology and in applying tactical communication skills in confrontational situations.
- Experience of regulating to achieve environmental outcomes, reducing environmental risk and human harm.

Knowledge

The following indicates what would typically be expected for this role at a competent level:

- Proficiency in MS Word, Excel, PowerPoint, GIS and other database and information management systems.
- Analytical skills.
- Proven ability to identify, analyse and diagnose problems in a logical and rational manner in an environment that could be high pressure and rapidly changing.
- Ability to coordinate of multiple cases simultaneously.
- Ability to communicate effectively to convey and interpret data/information.
- Able to interpret legislation, legal opinions and case law.
- Ability to analyse and predict non compliance cases that will or may escalate to formal enforcement.
- Political awareness and skill in dealing with sensitive and confidential issues (including cultural sensitivity).
- Collaborative work practices to build strong working relationships.
- High standard of written and verbal communication skills.
- Knowledge of industrial site activities and management.

Personal Attributes

- Ability to be decisive and assertive when necessary
- Sound judgement and initiative
- Positive approach to work and contributes to a supportive and encouraging team culture.
- Excellent interpersonal skills with the ability to initiate and engage effectively at all levels, internally and externally.
- Ability to effectively gather multiple sources of information and apply technical and analytical skills to make effective decisions.
- Ability to effectively plan, organise and co-ordinate work to ensure that goals are achieved with the highest quality of work possible within agreed timeframes.
- Ability to clarify objectives, identify key issues, consider all perspectives and evaluate.
- Ability to cope with a variety of work and on occasion difficult situations.
- A high level of courtesy and listening skills.

Awareness

- Demonstrated awareness of Te Tiriti o Waitangi and including Te Reo Māori in relevant and practical ways in interaction and engagement to demonstrate respect and value of Tikanga Māori in appropriate settings.

CHANGES TO JOB DESCRIPTION

From time to time it may be necessary to consider changes in the job description in response to the changing nature of our work environment. Such changes, including technological requirements or statutory changes, may be initiated by the manager of this job with due consultation with the position holder. This job description should be reviewed as part of the preparation for performance planning for the annual performance cycle.

ACKNOWLEDGEMENT

I have read this job description and fully understand the requirements set forth therein. I understand that this is to be used as a guide and that I will be responsible for performing other duties as assigned. I further understand that this job description does not constitute an employment contract with Hawke's Bay Regional Council.

Employee Signature

Date

Printed Name